

UNITED STATES OF AMERICA
SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL No. 3235-0008
SEC FILE No. 1-96203



CERTIFICATE OF REGISTRATION

BROKER-DEALER REGISTRATION

Pursuant to Section 15(b) of the Securities Exchange Act of 1934

THIS IS TO CERTIFY THAT

ROBERT KANE

*having passed the requisite qualifications and examinations,
is hereby registered with the Securities and Exchange Commission
as a broker pursuant to Section 15(b) of the
Securities Exchange Act of 1934 and is a member
in good standing of the Financial Industry Regulatory Authority.*

REGISTRATION DETAILS

SEC FILE NUMBER: **1-96203**

FINRA CRD NUMBER: **3028471**

EFFECTIVE DATE: **January 28, 2015**

Robert Kane

STATUS
ACTIVE

Mary Jo White

Chair, Securities and Exchange Commission

Issued: January 28, 2015

This certificate of registration is issued pursuant to the Securities Exchange Act of 1934.

Verification: www.sec.gov/brokercheck/finra.org

SEC 1-96203 | CRD 3028471